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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	US010026
First Inventor	Kurapati et al.
Title	USER INTERFACE FOR COLLECTING VIEWER RATINGS ...
Express Mail Label No.	EL 763424291US

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original, and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification *[Total Pages 11]*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) *[Total Sheets 2]*
5. Oath or Declaration *[Total Pages 2]*
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.       /      

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	
(Insert Customer No. or Attach barcode label here)	

or  Correspondence address below

Name	Gregory L. Thorne, Esq. PHILIPS CORPORATION; Intellectual Property Department				
Address	580 White Plains Road				
City	Tarrytown	State	New York	Zip Code	10591
Country	US	Telephone	914-333-9665	Fax	914-332-0615

Name (Print/Type) Paul A. Schwarz Registration No. (Attorney/Agent) 37,577

Signature Pat Date January 24, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$876.00

## Complete if Known

Application Number	Herewith
Filing Date	Herewith
First Named Inventor	Kurapati et al.
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	US010026

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-1057**  
 Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR § 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	<b>710.00</b>
106	320	206 160 Design filing fee	_____
107	490	207 245 Plant filing fee	_____
108	710	208 355 Reissue filing fee	_____
114	150	214 75 Provisional filing fee	_____
<b>SUBTOTAL (1)</b>			<b>\$710.00</b>

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	27	-20** = 7 X 18.00 = 126.00	126.00
Independent Claims	3	-3** = 0 X _____ = 0.00	0.00
Multiple Dependent		_____ = _____	_____

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>\$126.00</b>

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	_____
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	_____
139	130	139 130 Non - English specification	_____
147	2,520	147 2,520 For filing a request for ex parte reexamination	_____
112	920*	112 920* Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	_____
115	110	215 55 Extension for reply within first month	_____
116	390	216 195 Extension for reply within second month	_____
117	890	217 445 Extension for reply within third month	_____
118	1,390	218 695 Extension for reply within fourth month	_____
128	1,890	228 945 Extension for reply within fifth month	_____
119	310	219 155 Notice of Appeal	_____
120	310	220 155 Filing a brief in support of an appeal	_____
121	270	221 135 Request for oral hearing	_____
138	1,510	138 1,510 Petition to institute a public use proceeding	_____
140	110	240 55 Petition to revive - unavoidable	_____
141	1,240	241 620 Petition to revive - unintentional	_____
142	1,240	242 620 Utility issue fee (or reissue)	_____
143	440	243 220 Design issue fee	_____
144	600	244 300 Plant issue fee	_____
122	130	122 130 Petitions to the Commissioner	_____
123	50	123 50 Processing fee under 37 CFR § 1.17(q)	_____
126	180	126 180 Submission of Information Disclosure Statement	_____
581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(e))	_____
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	_____
179	710	279 355 Request for Continued Examination (RCE)	_____
169	900	169 900 Request for expedited examination of a design application	_____
Other fee (specify) _____			_____

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Paul A. Schwarz	Registration No. (Attorney/Agent)	37,577	Telephone	609-987-6883
Signature	<i>Pat</i>		Date	January 24, 2001	

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**"EXPRESS MAIL CERTIFICATE"**

"Express Mail" mailing label number: EL763424291US

Date of Deposit: January 24, 2001

Title of Invention: **USER INTERFACE FOR COLLECTING VIEWER RATINGS OF MEDIA CONTENT AND FACILITATING ADAPTION OF CONTENT RECOMMENDERS**

Inventors: **KURAPATI, Kaushal; SCHAFFER, J. David**

Type of Documents:

1. Application Transmittal;
2. Fee Transmittal;
3. Application consisting of 5 pages of specification, 5 pages of claims, 1 page of Abstract, and 2 pages of drawings;
4. Declaration and Power of Attorney;
5. Appointment of Associates;
6. Assignment;
7. Assignment Recordation Form;
8. Our checks in the amount of \$836.00 and \$40.00
9. Acknowledgment Postcard; and,
10. "Express Mail" Certificate.

I hereby certify that this paper and fee are being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, "Box Application," Washington, D.C. 20231.

Tammy T. Fattoross  
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